



ESCORP
ASSET MANGEMENT

Escorp Asset Mangement Limited
Corporate Office :
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Website : www.escorpamc.co.in
CIN : L1712MH2011PLC213451
GSTIN : 27AACCE6271A1ZZ

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Chaitali Pansari, Compliance Officer of Escorp Asset Management Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture zero (0) number of events during the quarter ended and has captured zero (0) number of the said required events.

I would like to report that the zero noncompliance(s) was observed in the previous quarter.

For Escorp Asset Management Limited

Chaitali
Chaitali Pansari
Compliance Officer



Date: April 13, 2023
Place: Mumbai